

AC: 22/02/2025

Item No. : 1.1.2



SIES (Nerul) College of Arts, Science and Commerce (Autonomous)
Syllabus for Approval

B.COM (FINANCIAL MARKETS)

Sr. No.	Heading	Particulars
1	Title of the Programme	B.Com. (Financial Markets)
2	Year	Third Year
3	Semesters	V and VI
4	Level	UG
5	Pattern	5 & 6 semesters Choice Based Grading System
6	Status	New
7	To be implemented from	From Academic year 2025-26 in a progressive manner

Date: 22nd February 2025

Signature:


Dr. Koel Roychoudhury
AC Chairperson




Dr. Babita H. Kakkar
Coordinator

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SIES (Nerul) College of Arts, Science and Commerce (Autonomous)
(Affiliated to University of Mumbai)
RE-ACCREDITED GRADE "A" BY NAAC (3rd CYCLE)
BOARD OF STUDIES
SYLLABUS FOR
B.COM (FINANCIAL MARKETS)
(WITH EFFECT FROM THE ACADEMIC YEAR 2025-2026)

PROGRAMME OBJECTIVES

1. To provide education, knowledge and professional development to students in the financial market.
2. To mentor and develop students in accordance with the needs of the financial markets.
3. To create an additional avenue for employability to the student and to provide suitable trained personnel for the Financial Services Sector.

PROGRAMME OUTCOME

PO-1 The learner will develop the knowledge, skill and attitude to creatively and systematically apply the principles and practices of commerce, accountancy, finance, Financial Derivatives, Technical Analysis, IKS / Strategic Corporate Finance, Wealth Management, Direct Tax - Income Tax, Risk Management, Mutual Fund Management, Business Ethics and Corporate Governance, Indirect Tax - GST/Venture Capital and Private Equity, Business Valuation / Financial Modeling, Financial Analytics as well as legal framework in modern day business and non-business organizations.

PO-2 The student will be able to exhibit self-confidence, awareness of general social issues and communicate effectively through digital and non-digital medium with accounting, commerce, management, business, professional fraternity and with society at large.

PO-3 The student will be able to function effectively as an individual and as a member or leader in teams and in multidisciplinary settings by demonstrating skills, coping skills and human skills.

PROGRAMME SPECIFIC OUTCOMES

PSO-1 Students will receive training in professional skills and gain practical knowledge to advance their financial markets competencies, preparing them for the workplace.

PSO-2 Students will develop their personalities and acquire the research, analytical, managerial, and communication skills in the rapidly expanding and dynamic field of finance.

PO-3 Students will be trained in social responsibilities, leadership and environmental and sustainable awareness.

PO-4 Students will be able to connect with global issues and gain knowledge of recent developments in the financial markets and finance fields.

B. H. Kakkar

Dr. Babita H. Kakkar
Coordinator



Koel Roychoudhury

Dr. Koel Roychoudhury
Principal

B.COM. (FINANCIAL MARKETS) PROGRAMME**SCHEME OF MODULES**

Semester V			
Sr. No.	Course Code	Course Name	Credits
1	Major: Department Specific Course (DSC)		
1	U25FM5MJ01	Financial Derivatives	4
2	U25FM5MJ02	Technical Analysis	4
3	U25FM5MJ03	Evolution of Finance and Banking Practices in India	2
2	Major: Elective		
4		* List of Major Elective Courses (MJE) for Semester V (Any One)	4
3	Minor: Department Specific Course (DSC)		
5	U25FM5MI01	Strategic Corporate Finance	4
4	On the Job Training (OJT)/Field Projects (FP)/Research Methodology (RP)/Community Engagement and Service (CEP)/Co-Curricular Courses (CC)		
6	U25CC5CEP01	Community Engagement and Service (CEP)	4
Total Credits			22

*** List of Major Elective Courses (MJE) for Semester V (Any One)**

Sr. No.	Course Code	Course Name	Credits
1	U25FM5MJE01	Direct Tax - Income Tax	4
2	U25FM5MJE02	Venture Capital and Private Equity	4
3		Corporate Restructuring [Offered by B.Com. (Accounting & Finance)]	4



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Financial Derivatives
Course Code	U25FM5MJ01
Course Type	Major Department Specific Course (DSC)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To provide an in-depth understanding of financial derivatives and its requirements for a successful derivatives markets.
2. To understand the concepts of futures and options and its types.
3. To analyze the different pricing models and its factors used for determining the price of derivative instruments.
4. To summarize trading clearing and settlement of options and futures.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Introduction to Derivatives <ul style="list-style-type: none"> • Definition – Types- Participants and Functions. • Development of Exchange traded derivatives. • Global derivatives markets- Exchange traded vs OTC derivatives markets. • Derivatives trading in India- L.C.Gupta committee- J.C. Varma committee. • Requirements for a successful derivatives markets. 	15
2	Module 2 – Futures and options- introduction <ul style="list-style-type: none"> • Futures: Introduction- Future terminology- Key features of futures contracts- Future vs. Forwards- Pay off for futures. • Equity futures in India-Index futures- Stock futures- Future trading strategies • Hedging- Speculation- Arbitrage- Spread trading. • Options: Introduction- Option terminology. • Types- Options pay off. • Stock options- Options trading strategies- Hedging- Speculation- Arbitrage- Straddle- Strangles- Strips and Straps – Spread trading 	15
3	Module 3 – Pricing of Future Options <ul style="list-style-type: none"> • The cost of carry models for stock and index futures- cash price and future price, arbitrage opportunity. 	15



	<ul style="list-style-type: none"> • Factors affecting options pricing- Option pricing models- Binominal pricing model- The black and Scholes model –Pricing of Index options. • Sensitivity of option premia (Delta, Gamma, Lambda, Theta) 	
4	Module 4 – Trading Clearing and Settlement of Options and Futures <ul style="list-style-type: none"> • Futures and Options trading system- Trader workstations- contract specification- specification for stock and index eligibility for trading charges. • Clearing entities and their role- clearing mechanism –adjustment for corporate actions- open position calculation. • Margining and settlement mechanism- Risk management- SPAN – Mechanics of SPAN- Overall portfolio margin requirements. 	15

Course Outcome: After studying the course, student will be able to:

1. describe all aspects of derivative market theory and the roles they play in the financial markets.
2. explain how derivative instruments can be used to change or hedge risk and evaluate risks and pay-offs associated with trading such instruments
3. evaluate the nature and extent of a company's exposure to stock price risk, commodity price risk, currency risk, interest rate risk and credit risk and various hedging strategies to the identified risk.
4. summarize the understanding of futures and options trading system, clearing entities and settlement mechanism.

Reference Books:

- "Options, Futures, and Other Derivatives" – John C. Hull
- "Fundamentals of Futures and Options Markets" – John C. Hull
- "Derivatives Markets" – Robert L. McDonald
- "Financial Derivatives: Theory, Concepts, and Problems" – S.L. Gupta

SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Technical Analysis
Course Code	U25FM5MJ02
Course Type	Major Department Specific Course (DSC)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To introduce the different facets of trading in stock market.
2. To understand the major indicators and oscillators of technical analysis.
3. To summarize the major theories in technical analysis.
4. To evaluate risk management, trading psychology and trading strategies.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Introduction to Technical Analysis <ul style="list-style-type: none"> • Technical analysis, Basic assumptions, Strengths and Weakness; • Dow Theory, Charts. • Candlestick charts analysis with one two and three candles like hammer, hanging man, shooting star, bearish and bullish harami. • Pattern Study - Support and resistance, Head and shoulders, Double top and double bottom and Gap theory. 	15
2	Module 2 – Major Indicators and Oscillators <ul style="list-style-type: none"> • Stochastic, RSI, Williams %R, MFI, Bollinger bands, Moving Averages, MACD 	15
3	Module 3 – Major Theories in TA <ul style="list-style-type: none"> • Dow Theory and Eliot Wave Theory 	15
4	Module 4 – Risk Management, Trading Psychology and Trading Strategies <ul style="list-style-type: none"> • Risk Management – Need, techniques, uses of stop loss, qualities of successful traders, and golden rules of traders, do's and don'ts in trading. • Rules to stop losing money, choosing the right market to trade, Importance of discipline in trading. • Day trading, Advantages of day trading, Risks associated with trading, Strategies for day trading. • Momentum trading strategies. 	15

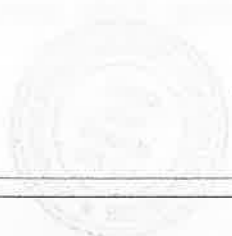


Course Outcome: After studying the course, student will be able to:

1. define the concepts, significance, charts and pattern study of technical analysis in stock market.
2. evaluate the need of in major indicators and oscillators like stochastic, RSI in technical analysis.
3. describe the use of major theories in understanding operations of technical analysis.
4. discuss the risk management need, techniques and momentum trading strategies.

Reference Books:

- Guide To Technical Analysis & Candlesticks by Ravi Patel (Author)
- How to Day Trade for a Living: Tools, Tactics, Money Management, Discipline and Trading Psychology Kindle Edition – Dr. Andrew Aziz – Author
- Technical Analysis Explained; Martin J Pring; McGraw Hill
- Technical Analysis of Stock Trend; Robert D Edwards, Visiosn Book
- Handbook of Technical Analysis, Darell R Jobman; Probus



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Evolution of Finance and Banking Practices in India
Course Code	U25FM5MJ03
Course Type	Major Department Specific Course (DSC)
Course Credit	02

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objective:

1. To understand the evolution of trade, commerce, and banking systems in ancient India, ancient financial instruments, evolution of banking, finance, and economic practices from ancient times to early medieval India, to analyze significant economic literature such as the Arthashastra, Manusmriti, Kautilya's Niti, and others to understand Indian financial practices.
2. To analyze the role of Hundis in trade and credit systems, to examine the use of currency in ancient India, to explore the concept and function of promissory notes, bridge ancient financial systems with modern finance and relate ancient financial practices to modern financial instruments.

Sr. No	Syllabus	No. of lectures
01	Module-1 Introduction to Ancient Indian Financial Systems <ul style="list-style-type: none"> ○ Overview of ancient Indian economic systems: trade, banking, and financial governance. ○ Role of financial instruments in facilitating commerce and trade. ○ Importance of religious, cultural, and legal frameworks in financial practices. Traditional Indian Financial Management and Accounting Practices <ul style="list-style-type: none"> ○ Traditional accounting systems in India (Chitthi, Vachan, and other record-keeping methods) ○ Role of community and familial financial management ○ Ancient Indian trade, commerce, and financial models The Arthashastra and Kautilya's Economic Vision <ul style="list-style-type: none"> ○ Detailed study of Arthashastra and its principles of taxation, governance, and statecraft ○ Kautilya's views on finance, markets, and the role of the king in economic matters ○ Analysis of Kautilya's ideas about the economy, banking, and trade Trade, Commerce, and Economic Practices in Ancient India <ul style="list-style-type: none"> ○ The role of trade and commerce in the Maurya, Gupta, and post-Gupta empires ○ Indian guilds and their role in organizing trade, taxation, and banking ○ Influence of Indian maritime trade on global commerce (e.g., Southeast Asia, Africa) Ancient Indian Banking Systems and Financial Institutions	15



	<ul style="list-style-type: none"> ○ Types of ancient financial institutions: Shroffs, moneylenders, and banking guilds ○ Role of early banks and their functions in trade and commerce ○ Indigenous systems of money management: Hundi, Chit Funds, and credit practices 	
02	<p>Module-2</p> <p>The Hundi: Definition, Types, and Functions</p> <ul style="list-style-type: none"> ○ Origin of Hundis in ancient India: historical development and evolution. ○ Types of Hundis: Navratan Hundi, Shah Hundi, Dharmada Hundi, Parchi Hundi. ○ The role of Hundis in facilitating trade, credit, and remittance systems. ○ Comparison with modern banking instruments like bills of exchange and promissory notes. <p>Economic and Trade Context of Hundis</p> <ul style="list-style-type: none"> ○ The role of Hundis in ancient India's trade networks, both regional and international. ○ The role of merchants, Vyaparis, and guilds in the Hundi system. ○ Hundis in the Maurya, Gupta, and medieval periods. ○ How Hundis helped in the expansion of Indo-Roman and Indo-Sassanian trade routes. <p>Currency in Ancient India: Coins and Money Systems</p> <ul style="list-style-type: none"> ○ Introduction to ancient Indian currency systems: metallic coins, weights, and measures. ○ Types of coins: punch-marked coins, Kushana coins, Gupta coins, etc. ○ The role of currency in the ancient Indian economy: usage, value, and circulation. ○ The minting process and the role of kings and emperors in regulating currency. <p>Promissory Notes: Function and Evolution</p> <ul style="list-style-type: none"> ○ Understanding promissory notes in ancient India: written debt instruments. ○ Promissory notes as part of the broader credit system: how they operated in ancient commerce. ○ Use of promissory notes in facilitating trade and credit transactions. ○ Legal framework surrounding promissory notes in ancient Indian texts. <p>Continuity of Ancient Financial Practices in Modern Systems</p> <ul style="list-style-type: none"> ○ Comparison between ancient Indian financial instruments and modern banking practices. ○ Influence of Hundis and promissory notes on contemporary banking and finance. ○ The role of indigenous banking systems in shaping modern Indian finance. ○ Legacy of ancient Indian currency in modern monetary systems. ○ The Indian approach to ethics and corporate governance (Satyam, Shivam, Sundaram) 	15



Course Outcome: After studying the course, student will be able to:

1. understand the evolution of trade, commerce, and banking systems in ancient India, ancient financial instruments, evolution of banking, finance, and economic practices from ancient times to early medieval India, to analyze significant economic literature such as the Arthashastra, Manusmriti, Kautilya's Niti, and others to understand Indian financial practices.
2. analyze the role of Hundis in trade and credit systems, to examine the use of currency in ancient India, explore the concept and function of promissory notes, bridge ancient financial systems with modern finance and relate ancient financial practices to modern financial instruments.

References:

- Agarwal, M. L. (2017). Traditional Financial Systems in India.
- Bhattacharyya, D. (2018). Ancient Finance and Its Relevance Today.
- Das, M. C. (2004). Dharma and Economic Decision-Making: The Indian Perspective.
- Gupta, P. (2012). Coins of Ancient India: A Numismatic Study.
- Gupta, P. (2012). Ancient Indian Economic Thought: A Historical Perspective.
- Gupta, P. (2012). Coins of Ancient India: A Numismatic Study.
- Kautilya (Chanakya). Arthashastra (translated by R. Shamasastry).
- Ray, H. P. (2014). Trade and Commerce in Ancient India.
- Sharma, R. (1996). Indian Economic History: From Ancient to Early Medieval Times.
- Sharma, R. N. (2009). The Role of Dharma in Ancient Economic Practices.
- Sharma, R. (2012). Ethical Financial Decision Making in Indian Business.
- Shukla, A. (2012). Early Financial Instruments in India.
- Verma, R. (2013). Bridging Ancient Indian Financial Systems with Modern Practices.



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 20 marks
- Semester end examination 60% i.e. 30 marks

(A) Internal Assessment 20 marks

Description	Marks
Internal Tests of 20 Marks each - Online Multiple-choice Questions/True or False - 20 Marks OR - Offline - Q.1 Multiple choice Questions/True or False - 10 Marks - Q.2. Attempt 2 questions out of 3 questions (5 marks each) - 10 Marks	10
One Project and Viva voce/Presentation/Case studies/Assignments	05
Attendance and Class Behavior	05
Total	20

(B) Semester end examination 30 marks

PAPER PATTERN

Duration: 1 hour	
Total Marks: 30	
Q1. 10 marks OR 10 marks	10
Q2. 10 marks OR 10 marks	10
Q3. 10 marks OR 10 marks	10
Total	30
Note: Q1, 2 and 3 - 10 Marks questions may be divided into sub questions if required.	

Passing criteria: Minimum 40% in Internal (8 out of 20) and 40% (12 out of 30) in semester end examination.



SIES (Nerul) College of Arts, Science and Commerce (Autonomous)

CEP- Community Engagement Project

Sr. No.	Heading	Particulars
1	Title of the course	Community Engagement & Social Responsibility
2	Semesters	V
3	Level	UG
4	Pattern	3-4 years & 6-8 semesters Choice Based Grading System
5	To be implemented from	From Academic year 2025-26 in a progressive manner



Community Engagement & Social Responsibility(4 credits(2+2))

Course Type: Co-curricular

COURSE CODE: U25CC5C EP 01

1 lecture : 60 minutes

Course Credits: 02

1 credit :15 lectures

Course Objectives:

The syllabus is aimed to achieve the following objectives:

1. To foster community involvement and holistic development of the student.
2. Teach students the importance and role of active citizenship in promoting a productive, harmonious and developed society/world
3. Educate students about the importance of concepts, skills and philosophy of community linkages in developing a sustainable society
4. Inculcate the importance of community involvement for ensuring an improved, tolerant and generative society/world
5. Provide an opportunity to the students to develop their relationship with the community.

Learning Outcome:

The learners will be able to:

1. Analyze Community Needs & Issues – Assess societal challenges and problems.
2. Investigate & Implement Solutions – Research and apply practical solutions to community problems.
3. Raise Awareness – Educate and inform the public about important social issues.
4. Understand Society & Citizenship – Recognize societal structure, human rights, and the role of active citizenship.
5. Evaluate & Act on Social Issues – Critically assess social problems and take community-based action.

Name of MOOC: Community Engagement and Social Responsibility (10 weeks)

Host: Dayalbagh Educational Institute, Agra, Uttar Pradesh (UGC)

Coordinator: University Grants Commission

Platform: SWAYAM

Course layout : As given by - SWAYAM NPTEL

Unit No.	Topic	No. of Lectures
Unit-I	Module 1 - Concept, Ethics and Spectrum of Community engagement Module 2 – Local community, Rural culture and Practice of community engagement Module 3 – Stages, Components and Principles of community development, Utility of public resources. Module 4 – Contributions of self-help groups	10
Unit-II	Module 5 - Rural Development Programs and Rural institutions Module 6 - Local Administration and Community	10



	Involvement Module 7 – Social contribution of community networking, Various government schemes.	
Unit -III	Module 8 – Programmes of community engagement and their evaluation. Module 9 - Community Engaged Research and Ethics in Community Engaged Research Module 10 - Rural Distress, Rural Poverty, Impact of COVID-19 on Migrant Laborers, Mitigation of Disaster	10
	TOTAL (HOURS)	30

Course Type: Co-curricular
1 credit :15 hours

Course Credits: 02
1 hour : 60 minutes of community activity

Learners will have to choose one among the following projects for CEP field work:

Sr. No	List of Projects
1.	<p>OIOP(One India One People Organization)- A initiative by SIES Trust</p> <ul style="list-style-type: none"> a. Environment Conservation Upcycling old to new clothes- for bag making etc. Empowering Women b. Value Education Teaching Life skills to generate handicrafts and sell. Undertaking informative and pressing issues campaigns/seminars c. Change Brigade Encouraging students towards development of sustainable ideas for societal benefit
2.	<p>Projects with Kotak Education Foundation</p> <ul style="list-style-type: none"> a. Child Education b. Support for under-privileged sections in society c. Support for content creation and delivery
3.	<p>Stree Mukti Sangathan</p> <ul style="list-style-type: none"> a. Plastic Waste Management b. Tree Plantation c. Women Empowerment through skill-based projects d. Arranging workshops and seminars for children and women
4.	<p>Unnat Bharat</p> <ul style="list-style-type: none"> a. Upliftment of Student basic education in villages b. Providing regular health checkup and follow-up in villages. c. Introducing Agricultural information/ Activities for better representation d. Adding the senior age group projects
5.	<p>Mulund Cluster -</p> <p>School beautification projects, notes generation for kids, contribution to empowerment</p>
6.	<p>Adhata Project</p> <p>Fostering inter-generational bonding and participation Evening engagement programmes for senior citizens</p>



7.	ConnectFor Offline/ Online CEP projects like- Volunteering programs for education, health care and community welfare
8.	INature: Focus on environmental conservation and biodiversity initiatives
9.	Akansha Foundations: School Projects near Chembur and related areas.
10.	Multiple Opportunities obtained via nearby college
11.	Tamil Sangham Project Handle environment, senior citizens help group, School Connect
12.	Student chosen NGO for project completion Subject to prior preference submitted and a letter successfully completed with

CEP mentors: To enhance the learning experience and ensure the quality of the program, each student participating in the CEP will be assigned two mentors: a faculty mentor from the institution and a NGO -Contact person mentor from the organization where the student is interning.

Organizations Mentor Role: The NGO -Contact person mentor plays a crucial role in guiding the student during the internship. They ensure that the internee fulfills the requirements of the organization and successfully meets the demands of the assigned project. Through their expertise and experience, NGO -Contact person mentors provide valuable insights into real-world practices and NGO -Contact person expectations.

Faculty Mentor Role: The faculty mentor serves as the overall coordinator of the CEP program. They oversee the entire internship process and evaluate the quality of the CEP in a consistent manner across all students. The faculty mentor ensures that the CEP aligns with the program's objectives and provides valuable learning opportunities. They also facilitate communication between the institution, NGO -Contact person mentor, and student to ensure a fruitful CEP experience. By having both an NGO -Contact person mentor and a faculty mentor, students benefit from a comprehensive guidance system that combines NGO -Contact person expertise and academic support.

Submission of documentation for CEP

The student will make two documents as part of the CEP

1. Online diary: This ensures that the student updates daily activity, which could be accessed by both the mentors. Weekly entry can be of 3- 4 sentences giving a very brief account of the learning/activities/interaction taken place.
2. CEP report: A student is expected to make a report based on the CEP he or she has done in an organization. It should contain the following:

Title Page (includes)

- Project Title
- Student Name(s) & Roll Number(s)
- Course Name & Semester
- Organizations Name
- Supervisor/Guide Name



- Date of Submission

Declaration

- A statement by the student(s) confirming the originality of the report and adherence to ethical guidelines.

Acknowledgment

- Expression of gratitude to mentors, community members, and supporting organizations.

Table of Contents

- List of chapters with page numbers.

Chapter 1: Introduction

- Background of the Project
- Objectives of the Community Engagement Project
- Significance and Expected Impact

Chapter 2: Literature Review

- Overview of community engagement concepts and best practices
- Relevant policies, case studies, or previous research

Chapter 3: Methodology

- Selection of Community/Target Group
- Activities Undertaken
- Timeline and Work Plan

Chapter 4: Implementation & Execution

- Description of Activities Conducted
- Role of Students in the Project
- Challenges Faced and Solutions Adopted

Chapter 5: Outcomes & Impact Analysis

- Benefits to the Community
- Learning and Skills Gained by Students
- Measurable Outcomes (e.g., surveys, feedback, before-and-after comparisons)

Chapter 6: Conclusion

- Summary of Findings
- Overall Impact
- Final Thoughts

Appendices (if any)

- Photos of Activities
- Survey Questionnaires or Interview Transcripts
- Additional Supporting Documents
- Appendix –II(Certificate Format) **(Required)**



Appendix-II

(Proforma for the certificate for internship in official letter head)

This is to certify that Mr./Ms..... from..... College has worked as an intern towards the partial fulfilment of _____ degree in the academic year ____ and has not been submitted for any other examination and does not form part of any other course undergone by the candidate.

The particulars of internship are given below:

Field Project starting date: _____

Field Project ending date: _____

Actual number of days worked: _____

Tentative number of hours worked: _____ Hours

Broad area of work: _____

A small description of work done by the intern during the period:

Signature:

Seal of the organization)

Designation:

Contact details:

Email:



Scheme of Examination
Community Engagement and Social Responsibility
(Undergraduate Programme)

SCHEME OF EXAMINATION (for 100 marks 4 credits)

The scheme of examination shall be divided into two parts:

Internal assessment 40% i.e.40 marks

External Assessment:

Semester end examination 30% i.e.30 marks

Field Project 30% i.e.30 marks

(A) Internal Assessment 40 marks

Description	Marks
NPTEL Assignments	30
Active Participation	10
Total	40

B) Semester End examination 30 marks

PAPER PATTERN

Duration: 1 hours	
Total Marks:30	
Q.1 Attempt any three out of five: (5 marks each) -Module 1 to 5	15
Q.2 Attempt any three out of five: (5 marks each) -Module 6-10	15
Total	30



Field Project 30 Marks

Field Visit Report	10
Viva	10
Activity related to field project	10
Total	30

Rubrics for Field Project Evaluation

Activity related % hours completion	Marks to be awarded
90 and above	10
80-89	9
70-79	8
60-69	7
50-59	6
40-49	5

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester-end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Direct Tax – Income Tax
Course Code	U25FM5MJE01
Course Type	Major Elective (MJE)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To describe the basic terms in Income tax, Scope of Total Income and exclusions from total taxable income.
2. To understand the procedure for computation of net taxable income of Individual assessee.
3. To describe various deductions from gross total Income.
4. To classify and compute taxable Income and tax under various sources of Individuals.

Sr. No	Syllabus	No. of lectures
01	Module-1 Definitions and Residential Status Basic Terms (Sec. 2,3,4) Assessee, Assessment, Assessment Year, Annual Value, Business, Capital Assets, Income, Previous Year, Person, Transfer. Determination of Residential Status of Individual, Scope of Total Income (Sec 5) Exclusions from total income Sec 10 (Exclusions relating to specific heads to be covered with relevant heads of income)	15
02	Module-2 Heads of Income – I Salary (Sec.15-17) Income from House Property (Sec. 22-27) Profit & Gain from Business and Profession (Sec. 28, 30,31,32, 35, 35D,36,37, 40, 40A and 43B) Capital Gain (Sec. 45, 48, 49, 50 and 54) Income from other sources (Sec.56- 59)	20
03	Module-3 Deductions under Chapter VI A Deductions from Total Income S. 80C, 80CCC, 80D, 80DD, 80E, 80U, 80TTA	15
04	Module-4 Computation of Taxable Income of Individuals Computation of Total Income and Taxable Income of Individuals	10

Note.: The Syllabus is restricted to study of particular sections, specifically mentioned rules and notifications only.

1. All modules / units include Computational problems
2. The Law In force on 1st April immediately preceding the commencement of Academic year will be applicable for ensuing examinations.



Course Outcomes: After studying the course, student will be able to:

1. describe the basic terms, i.e. Assessee, Assessment, etc., Scope of Total Income (Sec 5) and Exclusions from total income Sec 10 (Exclusions relating to specific heads to be covered with relevant heads of income).
2. classify heads of Income and compute income from Salary, Income from House Property, Profit & Gain from Business and Profession, Capital Gain and Income from other sources.
3. describe various Deductions from Total Income, Section 80C, 80CCC, 80D, 80DD, 80E, 80U, 80TTA.
4. Classify and compute total taxable Income and tax of Individuals.

Reference Books:

- Students Guide to Income Tax Dr Vinod Singhania- Taxmann
- Students Handbook on Taxation- T N Manoharan- Snow White
- Direct Tax Laws by T.N. Manoharan - Snow White
- Income Tax Act and Rules



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal Tests of 20 Marks each - Online Multiple-choice Questions/True or False - 20 Marks OR - Offline - Q.1 Multiple choice Questions/True or False - 10 Marks - Q.2. Attempt 2 questions out of 3 questions (5 marks each) - 10 Marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behavior	05
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q.1 15 Marks OR 15 marks	15
Q.2 15 Marks OR 15 marks	15
Q.3 15 Marks OR 15 marks	15
Q.4 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q.1, 2 and 3 - 15 Marks questions may be divided into sub questions if required. 2. Q.4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Venture Capital and Private Equity
Course Code	U25FM5MJE02
Course Type	Major Elective (MJE)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand various concepts of venture capital and private equity.
2. To analyze various Structure and regulations of venture capital, private equity investment and its valuation approaches.
3. To describe various strategies used in the private equity industry.
4. To analyze various exit strategies and modes of private equity.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Conceptual understanding of Venture Capital and Private Equity <ul style="list-style-type: none"> • Venture Capital –Over View of Venture Capital- Definition- Features- Types – Roles • Concept of PE and its characteristics- Definition- Difference between PE,VC and Hedge Funds- Nature of PE Firm- Players in the PE market– Benefit of PE Finance • PE Fund –Legal structure and terms- Private Equity Investments and Financing- Private Equity Multiples and Prices- Private Equity Funds and Private Equity Firms- Investment Feature and Consideration 	15
2	Module 2 – Structure and Valuation approaches <ul style="list-style-type: none"> • Structure and Regulation of Venture Capital and Private Equity- Business Cycle of PE • Structure of VC/PE firms- Limited Liability Partnerships- Routes of VC/PE investments in India- Regulatory Aspects of VC/PE investments. • Valuation approaches- Risk and Returns- Analysis of Funds- Conventional Method- Revenue Multiplier Method. 	15
3	Module 3 – Strategies of Private Equity <ul style="list-style-type: none"> • Leverage Buyout. • Growth Capital. • Mezzanine Capital. 	15



	<ul style="list-style-type: none"> Distressed Debt. Other Strategies. Due Diligence- Procedure and Challenges- Due Diligence in Emerging PE Market-Investing in Developing Market- Past Performance and Strategy 	
4	Module 4 - Exit strategies for Private Equity <ul style="list-style-type: none"> Modes of exits in Indian Context and Challenges involved. IPO. Promoter Buyback. Sale to Other PE funds. Sale to other strategic Investors. Stake Swap. M & A's. Open Market. Secondary Market 	15

Course Outcome: After studying the course, student will be able to:

1. summarize conceptual understanding of venture capital, concept of PE, its characteristics and PE fund.
2. describe structure and regulation of venture capital, private equity, limited liability partnerships and valuation approaches.
3. examine various strategies used in private equity industry like leverage buyout, growth capital and due diligence
4. describe the exit strategies and modes for private equity.

Reference Books:

- The Masters of Private Equity and Venture Capital: Robert Finkel: McGraw-Hill Education
- Guide to Private Equity : CA Neha Bhuvania: Taxmann
- Venture Capital, Private Equity, and the Financing of Entrepreneurship: Josh Lerner , Ann Leamon , Fel Hardyman : Wiley
- The Business of Venture Capital: Mahendra Ransinghani: Wiley Finance
- Venture Capital Financing in India: J C Verma :Response Books



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- . Internal assessment 40% i.e. 40 marks
- . Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	V
Course Name	Strategic Corporate Finance
Course Code	U25FM5MI01
Course Type	Minor Department Specific Course (DSC)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the relationship between strategy and Planning and compute corporate value addition.
2. To identify different sources of capital, determine capital structure and alternate sources of financing.
3. To learn how to establish feasibility of management buyouts, buy-ins, and apply financial due diligence.
4. To classify and analyse different types of risk in modern business organization.

Sr. No	Syllabus	No. of lectures
01	Module-1 Strategic Corporate Finance <ul style="list-style-type: none"> • Introduction to Strategic Corporate Finance: Strategy Vs. Planning, Significance of Strategy in Financial Decisions, Different Types of Financial Strategy for Shareholders, Wealth Maximization, Overall Corporate Value Addition and Economics Value Addition. • Strategic Cost Management: Traditional Costing Vs. Strategic Costing, Relevant costs Vs Irrelevant costs, Different Types of Strategic costing and their relevance- Traditional Costing Vs Activity Based Costing, Target Costing, Life Cycle Costing, Quality Costing, Zero Based Budgeting, Strategic Cost Reduction Techniques and value chain analysis. 	15
02	Module-2 Fund Raising <ul style="list-style-type: none"> • Fundraising: Identification of different sources of capital, determination of capital structure and factors affecting the capital structure, cost of capital and cost saving strategy, production of a business plan, and financial forecasts to enable potential funders to assess the proposition. • Alternate Sources of Financing- Different Approaches to and models of Infrastructure Projects Financing- Public Private Partnership (PPP) and its relevance. • Dividend Vs Share Repurchase Policy, problem of too much cash, Stock liquidity and illiquidity. 	15



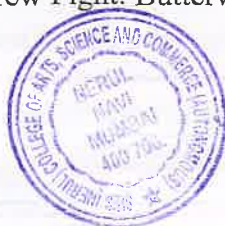
03	Module-3 Company Valuation <ul style="list-style-type: none"> Company Valuation: An Overview of Valuation, Valuation Principles and Practices more, the impact of “what if” scenarios, the key financial and commercial factors affecting the business, Value enhancement tools & techniques, the link between valuation and corporate finance. Management Buyouts: Establishing feasibility of the buy-out, Negotiating the main terms of the transaction with the vendor including price and structure, developing the business plan funders, negotiations with potential funders so that the most appropriate funding offers are selected. Management Buy-ins: Management Buy-in/Buy-outs, Vendor-initiated buyouts/buy-ins. Due Diligence: finance due diligence for both purchasers and financial institutions 	15
04	Module-4 Credit Risk Management <ul style="list-style-type: none"> Credit analysis, Default risk: Quantitative methodologies Expected and unexpected loss, Credit VaR, Counterparty risk Risk management choices, such as process control efforts, financial, physical, and operational hedging, value-based management 	15

Course Outcomes: After studying the course, student will be able to:

1. evaluate the relationship between strategy and Planning, compute corporate value addition by applying EVA and MVA techniques, and prepare strategic costing by applying various strategic cost management techniques.
2. identify different sources of capital financing, determine capital structure, dividend and share repurchase policy.
3. establish feasibility of management buyouts, buy-ins, and apply financial due diligence in Merger and Acquisition transactions.
4. classify and analyse different types of risk in modern business organization and apply various tools to mitigate credit risk.

Reference Books:

- Strategic Corporate Finance: Tony Davies, Tony Boczko, Jean Chen : McGraw-Hill Higher Education
- Strategic Corporate Finance: Pratap G Subramanyam: Snow White Publication
- Strategic Corporate Finance: Jayant Varma: Vision Books
- Strategic Corporate Finance: Samuel Weaver: Cengage Learning
- Credit Risk Management: Andrew Fight: Butterworth



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal Tests of 20 Marks each - Online Multiple-choice Questions/True or False - 20 Marks OR - Offline - Q.1 Multiple choice Questions/True or False - 10 Marks - Q.2. Attempt 2 questions out of 3 questions (5 marks each) - 10 Marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behavior	05
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q.1 15 Marks OR 15 marks	15
Q.2 15 Marks OR 15 marks	15
Q.3 15 Marks OR 15 marks	15
Q.4 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q.1, 2 and 3 - 15 Marks questions may be divided into sub questions if required. 2. Q.4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



B.COM. (FINANCIAL MARKETS) PROGRAMME**SCHEME OF MODULES**

Semester VI			
Sr. No.	Course Code	Course Name	Credits
1	Major: Department Specific Course (DSC)		
1	U25FM6MJ01	Risk Management	4
2	U25FM6MJ02	Wealth Management	4
3	U25FM6MJ03	Mutual Fund Management	2
2	Major: Elective		
4		* List of Major Elective Courses (MJE) for Semester VI (Any One)	4
3	Minor: Department Specific Course (DSC)		
5	U25FM6MI01	Business Ethics and Corporate Governance	2
4	Vocational Skill Course (VSC) & Skill Enhancement Course (SEC)		
6	U25FM6VSC01	Financial Analytics	2
5	On the Job Training (OJT)/Field Projects (FP)/Research Methodology (RP)/Community Engagement and Service (CEP)/Co-Curricular Courses (CC)		
7	U25FM6OJT01	On the Job Training (OJT)	4
Total Credits			22

* List of Major Elective Courses (MJE) for Semester VI (Any One)

Sr. No.	Course Code	Course Name	Credits
1	U25FM6MJE01	Indirect Tax - GST	4
2	U25FM6MJE02	Merchant Banking	4
3		Financial Modelling [Offered by B.Com. (Banking & Insurance)]	4



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Risk Management
Course Code	U25FM6MJ01
Course Type	Major Department Specific Course (DSC)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the concept of risk and its types.
2. To describe the evaluation management and its measurement.
3. To summarize ways of financial risk management
4. To evaluate risk assessment tools, techniques and regulations.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Introduction to Risk Management <ul style="list-style-type: none"> • The Concept of Risk, Identification of Risk faced by Organization • Risk and Uncertainty, Strategic and Operational Risks • Dynamic Nature of Risks • Business Risk, Financial Risk faced by Organization • Objectives of Risks Management, Process of Risk Management 	15
2	Module 2 – Evaluation of Risk <ul style="list-style-type: none"> • Evaluation of Organization's ability to bear them • Risk Measurement • Sources and Impact of Common Business Risk Market, Credit , Liquidity, Technological, Legal Environmental, Reputation, Country Risk • Identify and assess the impact upon the stakeholder involved in Business Risk • Nature and Importance of Financial Risk, Evaluation of Financial Risk, Evaluation of Alternative Risk Management Tools • Role of Risk Manager and Risk Committee in identifying and managing risk 	15
3	Module 3 – Financial Risk Management <ul style="list-style-type: none"> • Role of financial institutions in risk management • Stress testing and scenario analysis in risk assessment • Importance of diversification in financial risk reduction • Regulatory frameworks for financial risk management (Basel III, SEBI, RBI guidelines) • Case study on financial risk management. 	15



4	Module 4 – Risk Assessment Tools, Techniques and Regulations <ul style="list-style-type: none"> • Introduction to Risk Assessment frameworks • Quantitative vs. Qualitative risk assessment techniques • Risk assessment tools: Monte Carlo simulation, Decision trees, Sensitivity analysis • Scenario planning and predictive risk analytics • Role of artificial intelligence in risk management • Regulatory compliance and legal aspects of risk management • Case study on regulatory compliance and corporate risk governance 	15
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Course Outcome: After studying the course, student will be able to:

1. define various concepts of risk and uncertainty along with objectives of risks management and its process.
2. interpret the evaluation of risk, its measurement and its sources.
3. evaluate Role of financial institutions in risk management, importance of diversification in financial risk reduction and regulatory frameworks.
4. analyze and identify risk assessment frameworks, techniques and role of AI in risk management

Reference Books:

- The Essentials of Risk Management: Michel Crouhy, Dan Galai,, Robert Mark :MC Graw Hill Education
- A Practical Guide to Risk Management : Thomas S Koleman: Research Foundation of CFA Institute
- Risk Management – Concepts and Guidance: Carl Pritchard: CRC Press
- Risk Management: Prof C K Roy: Vayu Education



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	B.Com. (Financial Markets)
Semester	VI
Course Name	Wealth Management
Course Code	U25FM6MJ02
Course Type	Major Department Specific Course (DSC)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the fundamentals of wealth management and its strategies and key components for financial growth.
2. To analyze philosophy of wealth creation & management to optimize asset allocation, risk management, and financial decision-making.
3. To apply financial Planning, Financial Mathematics, cash flow analysis and Calculation of returns.
4. To describe effective retirement and estate plans to ensure financial security and efficient wealth transfer.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Introduction to Wealth Management and Products <ul style="list-style-type: none"> • Define Wealth, Meaning & Scope of Wealth Management, Wealth cycle, Wealth Management Process, Introduction to Financial literacy. • Introduction, Nature and Scope of Saving Investments Objectives of Saving and Investment (Tax Saving, Income and Growth of Capital), Investment Alternatives, Investment Attributes, Approaches to investment decision making, Qualities for successful investment, Alternatives to Investment decision – Direct & Indirect. 	15
2	Module 2 – Wealth Management Strategy <ul style="list-style-type: none"> • Meaning & scope of wealth management strategy, the unwealthy habits, Philosophy of wealth creation & management, Need for planning. • Types of investment risk, Risk profiling of investors & asset allocation (life cycle model), Asset allocation strategies (strategic, tactical, life- cycle based), Goal-based financial planning, Active & passive investment strategies. 	15
3	Module 3 – Financial Planning and Financial Mathematics <ul style="list-style-type: none"> • Introduction, Role of Financial planner, Process of financial planning, Cash flow analysis, Financial Planning in India, Financial Blood Test Report • Calculation of returns (CAGR, Post-tax returns, etc.), Calculation of Total assets, Net worth calculations. 	15



4	Module 4 – Retirement & Estate Planning <ul style="list-style-type: none"> • Meaning & Objectives of Retirement planning, Gifts & Trust, Charity planning, Avoidable mistakes in retirement planning, Power of attorney for asset management. • Meaning & scope, Need for Estate planning, Tools for Estate planning, Considerations for personal property and collectibles. • Meaning, Basic principles of insurance, Functions and Characteristics of Insurance , Rights and responsibilities of Insurer and Insured, Types of life insurance policies, Types of general insurance policies, Health insurance – med claim – Calculation of Human Life Value / Belth Method CPT. 	15
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Course Outcome: After studying the course, student will be able to:

1. understand the fundamentals of wealth management, saving investments, investment alternatives and its strategies and key components for financial growth.
2. analyze types of investment risk, Risk profiling of investors & asset allocation & management to optimize asset allocation, risk management, and financial decision-making.
3. apply financial Planning, Financial Mathematics, cash flow analysis and Calculation of returns and Net worth calculations.
4. describe effective retirement and estate plans to ensure financial security and efficient wealth transfer.

Reference Books:

- "Wealth Management: The New Business Model" – G. Victor Hallman & Jerry Rosenbloom
- "Private Wealth Management: The Complete Reference for the Personal Financial Planner" – G. Victor Hallman & Jerry Rosenbloom
- "The Wealth Management Index" – Ross Levin
- "Wealth Management Unwrapped" – Charlotte B. Beyer



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Mutual Fund Management
Course Code	U25FM6MJ03
Course Type	Major Department Specific Course (DSC)
Course Credit	02

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the concept of mutual fund and its structure in India.
2. To analyze returns and performance measurement, accounting and taxation of mutual funds

Sr. No	Syllabus	No. of lectures
1	Module 1 – Mutual Fund Structure and Products <ul style="list-style-type: none"> • Introduction: Meaning of Mutual Fund, Structure in India, Sponsors, Trust, Role of AMC, NFOs , Registrars, Agents. • Types of Mutual Fund schemes. • Objectives of AMFI, Advantage of Mutual Funds, Systematic Investment Plan (SIP), Systematic Transfer Plan (STP), Systematic Withdrawal Plan (SWP) • Mutual Fund Products and Features: Equity funds: Definition, Features of Equity Funds, Index Fund, Large Cap Funds, Mid Cap Funds, Sectoral Funds, Types of Equity Schemes, Arbitrage funds, Multi-cap Funds, Quant funds, P / E Ratio funds, International Equities Fund, Growth Schemes. • Gold Exchange Trade Funds (ETFs): Introduction Features, Working of ETFs, Market Making with ETFs, Creation Units, Portfolio Deposit and Cash Component. • Debt Fund: Features, Interest Rate Risk, Credit Risk, Pricing of Debt Instrument Schemes, Fixed Maturity Plans, Capital Protection Funds, Gilt Funds, Balanced Funds, MIPs ,Child Benefit Plans. • Liquid funds: Features, Floating rate scheme, Portfolio of liquids funds. 	15
2	Module 2 – Investment and Performance Measurement, Accounting and Taxation of Mutual Funds <ul style="list-style-type: none"> • Fund Performance, Measuring Return, Measuring Risk, Risk adjusted return, • Comparing Fund Performance with a reference, various standardized performance systems, Limitations of Performance measurement and evaluation. • Accounting: Net Asset Value (NAV)-Meaning-Computation- Factors 	15



	<p>affecting NAV-Pricing of Units- Fees and Expenses- Investment Management and Advisory Fees –Initial Expenses- Recurring Expenses- Total expenses - Accounting policies</p> <ul style="list-style-type: none"> • Valuation:- Valuation of thinly traded securities- Valuation of Non trade securities-Valuation and disclosure of illiquid securities. • Taxation: Dividends- Capital Gains- Tax Rebate- Restrictions on Dividends Stripping. 	
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Course Outcome: After studying the course, student will be able to:

1. describe mutual fund structure in India, role of AMC and objectives of AMFI .
2. evaluate fund performance, its measurement, accounting, valuation and taxation.

Reference Books:

1. Indian Mutual Funds Handbook : Sundar Sankaran: Vision Books
2. Morningstar Guide to Mutual Funds: 5-Star Strategies for Success : Christine Benz.: Wiley.
3. Mutual Funds in India: Amitabh Gupta: Anmol Publications.
4. Bogle on Mutual Funds: New Perspectives for The Intelligent Investor: Jogn C Bogle: Wiley.



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 20 marks
- Semester end examination 60% i.e. 30 marks

(A) Internal Assessment 20 marks

Description	Marks
Internal tests of 20 marks each	
- Online Multiple Choice Questions/True or False. – 10 marks	10
OR	
Offline	
- Q1. Multiple choice Questions/True or False – 05 marks	
- Q2. Attempt 2 question out of 3 questions – 05 marks	
One Project and Viva voce/Presentation/Case studies/Assignments	5
Attendance and Class Behavior	5
Total	20

(B) Semester end examination 30 marks

PAPER PATTERN

Duration: 1 hour	
Total Marks: 30	
Q1. 10 marks OR 10 marks	10
Q2. 10 marks OR 10 marks	10
Q3. 10 marks OR 10 marks	10
Total	30
Note: Q1, 2 and 3 - 10 Marks questions may be divided into sub questions if required.	

Passing criteria: Minimum 40% in Internal (8 out of 20) and 40% (12 out of 30) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Indirect Tax – GST
Course Code	U25FM6MJE01
Course Type	Major Elective (MJE)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To describe the basics of Indirect Taxes – GST.
2. To understand the concept of supply: taxable event, place of supply, time of supply, value of supply.
3. To learn registration and computation of GST.
4. To acquaint the students with filing of returns in GST.

Sr. No	Syllabus	No. of lectures
01	<p>Module-1 Introduction to Indirect Taxation and GST</p> <p>Basics for Taxation - Direct Taxes and Indirect Taxes – Difference, Advantages and Disadvantages, Sources and Authority of Taxes in India (Art 246 of the Indian Constitution)</p> <p>Introduction to GST – Genesis of GST in India, Power to tax GST (Constitutional Provisions), Extent and Commencement, Meaning and Definition of GST, Benefits of GST, Conceptual Framework – CGST, IGST, SGST, UTGST, Imports of goods or services or both, Export of goods or services or both, Taxes subsumed and not subsumed under GST.</p> <p>Definitions – Goods (2(52) of CGST Act), Services (2(102) of CGST Act), Money (2(75) of CGST Act), Securities (2(101) of SCRA Act,1956), India(2(56) of CGST Act), Persons (2(84) of CGST Act),Taxable Person (2(107) of CGST Act), Business (2(17) of CGST Act), Consideration(2(31) of CGST Act), E-Commerce Operator (2(45) of CGST Act, Supplier (2(105) of CGST Act, Recipient(2(93) of CGST Act)</p> <p>Levy and Collection of GST – Levy and Collection of CGST, IGST, SGST, UTGST (Sec 9 of CGST Act), Composition Scheme under GST (Sec 10 of CGST Act), Power to Grant Exemption (Sec 11 of CGST Act) GST Rate Schedule for Goods and Services.</p>	15
02	<p>Module-2 Concept of Supply</p> <p>Taxable Event Supply – Meaning and Scope of Supply (Section 7 Subsection 1, 2 and 3 of Act) Schedule I, Schedule II, Schedule III, Composite and Mixed Supplies (Sec 8 of CGST Act)</p> <p>Place of Supply – Location of Supplier of Goods and Services,</p>	15



	<p>Place of Supply of Goods (Sec 10, 11.12 and 13 of IGST Act). Special Provision for Payment of Tax by a Supplier of Online Information Database Access Retrieval.</p> <p>Time of Supply – Time of Supply (Sec 31 of CGST Act). Issue of Invoice by the Supplier (Sec 31 (1) and Sec 31(2) of CGST Act). Continuous Supply of Goods and Services, Goods Sent on Approval (Sec 31(7) of CGST Act)</p> <p>Value of Supply – Determination of Value of Supply (Sec 15 of CGST Act and CGST Rules 2017), Input Tax Credit (Sec 2(62) of CGST Act) Capital Goods (Sec 2(19) of CGST Act), Input Sec 2(59) of CGST Act), Input Service (Sec 2(60) of CGST Act). Eligibility and Conditions for taking Input Tax Credit (Sec 16 of CGST Act)</p>	
03	<p>Module-3 Registration and Computation of GST</p> <p>Registration – Persons liable for Registration (Sec 22 of the Act), Persons not liable for Registration, Procedure for Registration (Sec 25 of the Act), Deemed Registration (Sec 26 of the Act), Special Provisions (Sec 27 of the Act), Amendment, Cancellation and Revocation of Registration (Sec 28, Sec29 and Sec 31 of the Act)</p> <p>Computation of GST – Computation of GST under Inter State & Intra State Supplies</p> <p>Payment of Tax – Payment of Tax, Interest and other Amounts (Sec 49 of the Act), Interest on delayed Payment (Sec 50 of the Act), TDS (Sec 51 of the Act), TCS (Sec 52 of the Act)</p>	15
04	<p>Module-4 Filing of Returns</p> <p>Documentation – Tax Invoices (Sec 31 and 32 of the Act), Credit and Debit notes (Sec 34 of the Act), Electronic Way Bill</p> <p>Returns – Types of Returns and Provisions relating to filing of Returns (Sec 37 to Sec 48 of the Act)</p>	15

Course Outcomes: After studying the course, student will be able to:

1. describe the basics for Indirect Tax _ GST, sources and authority of taxes in India.
2. explain the concept of supply: Scope of Supply, Composite and Mixed Supplies.
3. identify the liability for registration – persons liable for registration, persons not liable for registration, procedure for registration, deemed registration, amendment, cancellation and revocation of registration and Computation of GST liability.
4. explain the GST documentation- Tax Invoice, credit and debit note, electronic way bill, GST Returns – types of returns and provisions relating to filing of Returns.

Reference Books:

- . Step by Step Guide to GST – Compliances – Avinash Poddar
- . A Complete Guide to Goods and Services Tax – Sanjiv Agarwal
- . Hand Book on GST- Pratik Shah
- . GST Bare Act 2017
- . GST Law & Practice - V.S Datey (6th Edition)
- . GST Laws – National Academy of Customs, Indirect Tax



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal Tests of 20 Marks each - Online Multiple-choice Questions/True or False - 20 Marks OR - Offline - Q.1 Multiple choice Questions/True or False - 10 Marks - Q.2. Attempt 2 questions out of 3 questions (5 marks each) - 10 Marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behavior	05
Total	40

(B) Semester end examination 60 marks

PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q.1 15 Marks OR 15 marks	15
Q.2 15 Marks OR 15 marks	15
Q.3 15 Marks OR 15 marks	15
Q.4 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q.1, 2 and 3 - 15 Marks questions may be divided into sub questions if required. 2. Q.4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Merchant Banking
Course Code	U25FM6MJE02
Course Type	Major Elective (MJE)
Course Credit	04

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand concepts of merchant banking and financial services.
2. To familiarize students with the concepts of raising capital from international markets and depositories.
3. To describe the process of issue management and merchant banker's role in it.
4. To explain issue management & importance of due diligence.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Merchant Banking <ul style="list-style-type: none"> • Merchant Banking and Financial Services: Introduction, Concept of merchant banking. • Financial system in India and Development of merchant banks and regulations in India. • Underwriting and Brokerage - Different roles played by underwriters and brokers in issue management and their responsibilities 	15
2	Module 2 – Capital Funds <ul style="list-style-type: none"> • Raising Capital from International Markets - Needs of Indian companies for raising funds from foreign markets, Usage of Euro issue, Evaluation of various types of depository receipts – • American Depository Receipts, Global Depository Receipts, FCCBs and FCEBs. 	15
3	Module 3 – Issue Management Process <ul style="list-style-type: none"> • The process of issue management and merchant banker's role in it, The appointment of SEBI registered intermediaries and other intermediaries. • The process of filing of offer document by the issuer with SEBI and the ROC with the help of the lead Merchant Banker, List of the documents to be submitted before opening of the issue • Copy of agreement between the Issuer and Merchant Banker, Certificate of 	15



	<p>compliance stating compliance of conditions, Due diligence certificate while registering DRHP/ Red Herring Prospectus/ prospectus with the ROC/ final post issue report.</p> <ul style="list-style-type: none"> The type of In-Principle Approval from recognized stock exchanges for initial public issues as well as in the case for rights and further public offerings, the allotment, refund and payment of interest. 	
4	<p>Module 4 – Issue Management & Due Diligence</p> <ul style="list-style-type: none"> The general obligations of Intermediaries with respect to Public Issues and Rights Issue, The pricing in preferential issue. The pricing and restrictions on allotment of Qualified Institutional Placement. The pre-issue advertisement for rights issue, Utilization of funds raised through rights issue and the manner of disclosures in the offer document. The procedure for Institutional Placement Programme w.r.t Offer Document Pricing and Allocation/Allotment Restrictions, Minimum number of allottees, Restrictions on size of the offer, Period of subscription and display of demand. Transferability of eligible securities, The procedure for issue of Indian Depository Receipts (IDRs) w.r.t Eligibility Conditions for issue of IDR, Minimum Subscription Filing of Draft Prospectus, Due diligence Certificate, Payment of Fees and Issue of advertisements for IDR, Post Issue Reports, Undersubscribed Issue Finalisation of basis of allotment. The importance of due diligence, The role of external parties in the due diligence process and List of due diligence documents. 	15

Course Outcome: After studying the course, student will be able to:

1. understand concepts and regulations of financial system and development of merchant banks in India.
2. describe the categories and operations of commercial banking.
3. explain the procedure of issue management, merchant banker's role and the process of filing of offer document with SEBI and ROC .
4. evaluate issue management, general obligations & importance of due diligence .

Reference Books:

- "Merchant Banking and Financial Services" – Dr. S. Gurusamy
- "Financial Services and Systems" – H.R. Machiraju
- "Merchant Banking and Financial Services" – Madhu Vij & Swati Dhawan
- "Indian Financial System" – Bharati V. Pathak



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 40 marks
- Semester end examination 60% i.e. 60 marks

(A) Internal Assessment 40 marks

Description	Marks
Internal tests of 20 marks each - Online Multiple Choice Questions/True or False. – 20 marks OR Offline - Q1. Multiple choice Questions/True or False – 10 marks - Q2. Attempt 2 question out of 3 questions – 10 marks	20
One Project and Viva voce/Presentation/Case studies/Assignments	15
Attendance and Class Behaviour	5
Total	40

(B) Semester end examination 60 marks PAPER PATTERN

Duration: 2 hours	
Total Marks: 60	
Q1. 15 Marks OR 15 marks	15
Q2. 15 Marks OR 15 marks	15
Q3. 15 Marks OR 15 marks	15
Q4. 15 Marks OR 15 marks Three short notes of 5 Marks each or Case study	15
Total	60
Note: 1. Q1, 2 and 3 - 15 Marks question may be divided into sub questions if required. 2. Q4 May include theory (short notes) /Case Study in one of the options.	

Passing criteria: Minimum 40% in Internal (16 out of 40) and 40% (24 out of 60) in semester end examination.



BOS	Commerce
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Business Ethics and Corporate Governance
Course Code	U25FM6MI01
Course Type	Minor - Department Specific course (DSC)
Course Credit	02

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the concepts Business Ethics and Corporate Governance.
2. To describe the framework of corporate governance in professional life and imbibe the ethical standards and to adhere them.

Sr. No	Syllabus	No. of lectures
1	Module 1 – Business Ethics and Corporate Governance – Conceptual Framework <ul style="list-style-type: none"> • Introduction to business ethics, Role of Board of directors, Organisation climate and structure. • Addressing Ethical Dilemmas. • Code of ethics, Ethics Committee, Ethics Training, Integrity Pact • Introduction, Need, Scope and Evolution of Corporate Governance • Developments in India - Elements of Good Corporate Governance and E-Governance 	15
2	Module 2 – Legislative Framework of Corporate Governance in India and Shareholders Rights <ul style="list-style-type: none"> • Rights of Shareholders- Challenges of exercising Shareholder's Rights. • Corporate Governance and Related Party Transactions. • Role of Investor Association in Securing Shareholders Rights. • Role of Institutional Investors in Corporate Governance • National Foundation of Corporate Governance. • Global Corporate Governance forum. • Listing agreements. • SEBI Guidelines. • Companies Act 	15



Course Outcome: After studying the course, student will be able to:

1. explain the meaning, nature and importance of business ethics and apply ethical theories in business.
2. examine the conceptual framework of corporate governance, related party transactions, Shareholders Rights and analyze the business ethical standards with respect to SEBI Guidelines and Companies Act.

Reference Books:

1. Business Ethics- Concepts and Cases – Manuel G. Velasquez
2. Corporate Governance, Principles, policies and Practices – A.C. Fernando, Pearson Education
3. Corporate Governance – IICA, Taxmann
4. The Art of Corporate Governance – Dr. Joffy George



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 20 marks
- Semester end examination 60% i.e. 30 marks

(A) Internal Assessment 20 marks

Description	Marks
Internal tests of 10 marks each - Online Multiple Choice Questions/True or False.	10
OR - Offline - Q1. Multiple choice Questions/True or False	5
- Q2. Attempt 1 question out of 3 questions	5
One Project and Viva voce/Presentation/Case studies/Assignments	5
Attendance and Class Behavior	5
Total	20

(B) Semester end examination 30 marks

PAPER PATTERN

Duration: 1 hour	
Total Marks: 30	
Q1. 10 marks OR 10 marks	10
Q2. 10 marks OR 10 marks	10
Q3. 10 marks OR 10 marks	10
Total	30
Note: Q1, 2 and 3 - 10 Marks questions may be divided into sub questions if required.	

Passing criteria: Minimum 40% in Internal (8 out of 20) and 40% (12 out of 30) in semester end examination.



BOS	Accountancy
Class	T.Y.B.Com. (Financial Markets)
Semester	VI
Course Name	Financial Analytics
Course Code	U25FM6VSC01
Course Type	Vocational Skill Course (VSC)
Course Credit	02

1 credit - 15 lectures

1 lecture is 60 minutes

Course Objectives:

1. To understand the basics of python programming and machine learning for finance.
2. To demonstrate the application of data analytics in finance domain.
3. To visualise financial data with Python to explain algorithmic trading and AI based predictions.

Sr. No	Syllabus	No. of lectures
01	Module-1 Python for Finance Introduction to Python programming for finance applications. Data manipulations and analysis using Pandas and NumPy. Financial modelling: Time value of money, loan amortization, and portfolio optimization. Python libraries for finance: Matplotlib, Seaborn for data visualization. Building simple financial models with Python.	10
02	Module-2 Machine Learning for Finance Introduction to Machine Learning algorithms used in finance. Supervised vs unsupervised learning: Applications in stock market prediction and risk management. Financial forecasting using regression models. Classification techniques for credit scoring and fraud detection. Case studies on implementing Machine Learning in investment strategies.	10
03	Module-3 Data visualization and AI in Finance Importance of data visualization in financial decision-making Visualizing financial data with Python: interactive plots, dashboards, and time-series analysis. Introduction to AI in Finance: Applications in portfolio management, algorithmic trading, and robo-advisors. AI-based assessment and financial predictions using machine learning models. Case studies of AI-driven financial tools and platforms.	10

Course Outcomes: After studying the course, student will be able to:

1. understand the python programming for finance applications and build simple financial models with Python.



2. analyse financial data and make predictions using machine learning models, make stock market prediction and risk management and classify techniques for credit scoring and fraud detection.
3. visualise financial data with Python to explain algorithmic trading, and robo-advisors and undertake AI-based assessment and financial predictions using machine learning models.

Reference Books:

- Python for Finance: Mastering Data-Driven Finance, authored by Yves J. Hilpisch
- Machine Learning for Asset Managers authored by Marcos M. Lopez de Prado, Cornell University, New York
- Data Science for Finance: Data Analysis, Visualization, and Machine Learning by Igor Haluschak
- Artificial Intelligence in Finance authored by Yves Hilpisch



SCHEME OF EXAMINATION

The scheme of examination shall be divided into two parts:

- Internal assessment 40% i.e. 20 marks
- Semester end examination 60% i.e. 30 marks

(A) Internal Assessment 20 marks

Description	Marks
Internal Tests of 10 Marks each	10
- Online Multiple-choice Questions/True or False - 10 Marks	
OR	
- Offline	
Q.1 Multiple choice Questions/True or False - 5 Marks	
Q.2. Attempt 2 questions out of 3 questions (5 marks each) - 5 Marks	
One Project and Viva voce/Presentation/Case studies/Assignments	05
Attendance and Class Behavior	05
Total	20

(B) Semester end examination 30 marks

PAPER PATTERN

Duration: 1 hour	
Total Marks: 30	
Q1. 10 marks OR 10 marks	10
Q2. 10 marks OR 10 marks	10
Q3. 10 marks OR 10 marks	10
Total	30
Note: Q1, 2 and 3 - 10 Marks questions may be divided into sub questions if required.	

Passing criteria: Minimum 40% in Internal (8 out of 20) and 40% (12 out of 30) in semester end examination.



SIES Nerul College of Arts, Science and Commerce (Autonomous)
NAAC Re-Accredited 'A' Grade (3rd Cycle)
Department of Accountancy
2025-26

ON THE JOB TRAINING

Programme Name: B.Com. (Financial Markets) Semester: VI	Credit ---04 Marks---100 Course name-- ON JOB TRAINING
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Introduction:

- On Job training (OJT) is an important component of the UG program that provides students with a unique opportunity to bridge the gap between theoretical knowledge gained in the classroom and practical application in a real-world environment.
- On Job training (OJT) aims to equip students with both technical and non-technical skills that are essential for success in the industry.
- By participating in OJT, students are able to apply the concepts and theories learned during their coursework to real-world scenarios. They gain hands-on experience, problem-solving skills, and a deeper understanding of how the industry operates.
- From an organizational perspective, OJT program allows companies to gain insights into the curriculum and content of UG Program. They can provide valuable feedback on the relevance of the coursework and industry requirements, enabling academic institutions to continually improve the program's alignment with industry needs. This collaboration between academia and industry fosters a mutually beneficial relationship, ensuring that students are well-prepared for the job-market.

Enhancing practical Skills through OJT:

- The On the Job Training (OJT) program spans 4-6 weeks, requiring a minimum of 60 to 120 hours of physical presence at the organization.
- Students will be assisted in getting OJT through Placement cell /Individual Departments of the institution/Alumni Network to provide support and guidance in securing positions with reputable organizations.
- OJT must be conducted outside the home institution to expose students to real-world work environment.
- OJT covers any Course in the syllabus, allowing students to align their experience with their academic interest.
- OJT bridges the gap between theoretical knowledge and practical application, preparing students for successful careers in their respective area of interest.



Interning organization: Students have the flexibility to pursue their OJT in various types of organizations, including:

- **Hardware/Manufacturing Firms:** Learn about hardware design, manufacturing processes, and quality assurance.
- **Civic Departments:** Engage with local civic departments such as ward offices, post offices, police stations, or panchayats to understand their functioning and contribute to their activities.
- **Research Centre/University Department/College:** Contribute as research assistants or in similar roles for research projects or initiatives, fostering collaboration between academia and industry.
- **Small-Scale Industries/Service Providers:** Explore opportunities in diverse sectors such as banking, clinics, NGOs, and professional institutions like CA firms or law firms
- **Industries/Finance and Insurance sector/Management and Media Development Firms:** Gain practical experience and to understand their functioning and contribute to their activities

OJT mentors: To enhance the learning experience and ensure the quality of the program, each student participating in the OJT will be assigned two mentors: a faculty mentor from the institution and an industry mentor from the organization where the student is interning.

Industry Mentor Role: The industry mentor plays a crucial role in guiding the student during the internship. They ensure that the intern fulfills the requirements of the organization and successfully meets the demands of the assigned project. Through their expertise and experience, industry mentors provide valuable insights into real-world practices and industry expectations.

Faculty Mentor Role: The faculty mentor serves as the overall coordinator of the OJT program. They oversee the entire internship process and evaluate the quality of the OJT in a consistent manner across all students. The faculty mentor ensures that the OJT aligns with the programme objectives and provides valuable learning opportunities. They also facilitate communication between the institution, industry mentor, and student to ensure a fruitful OJT experience. By having both an industry mentor and a faculty mentor, students benefit from a comprehensive guidance system that combines industry expertise and academic support.



Submission of documentation for OJT

The student will make two documents as part of the OJT:

1. **Online diary:** This ensures that the student updates daily activity, which could be accessed by both the mentors. Daily entry can be of 3-4 sentences giving a very brief account of the learning/activities/interaction taken place.
2. **OJT report:** A student is expected to make a report based on the OJT he or she has done in an organization. It should contain the following:

It should contain the following:

1. **Certificate:** A certificate from the organization where the OJT was done.
2. **Title:** A suitable title giving the idea about what work the student has performed during the OJT.
3. **Description of the organization:** A small description of the organization where the student has interned.
4. **Description of the activities done by the section where the intern has worked:** A description of the section or cell of the organization where the intern worked. This should give an idea about the type of activity a new employee is expected to do in that section of the organization.
5. **Description of work allotted and done by the intern:** A detailed description of the work allotted, and actual work performed by the intern during the OJT period. It shall be the condensed and structured version of the daily report mentioned in the online diary.
6. **Self-assessment:** A self-assessment by the intern on what he or she has learned during the OJT period. It shall contain both technical as well as interpersonal skills learned in the process.



Appendix-I

Maintain the weekly online diary for each week in the following format:

	Day	Date	Task Assigned / Activities Performed	Remark
1 st Week	Monday			
	Tuesday			
	Wednesday			
	Thursday			
	Friday			
	Saturday			
	Signature of the faculty Mentor: _____			
Seal of the College				



Appendix-II

(Proforma for the certificate for internship in official letter head)

This is to certify that Mr./Ms. of
..... College/Institution worked as an intern as part of his/her UG
Course in of University of Mumbai. The particulars of internship are given
below:

Internship starting date: _____

Internship ending date: _____

Actual number of days worked: _____

Tentative number of hours worked: _____ Hours

Broad area of work: _____

A small description of work done by the intern during the period:

Signature: _____

(Seal of the organization)



Appendix-III

(Proforma for the Evaluation of the intern by the industry mentor/ to whom the intern was reporting in the organization)

Professional Evaluation of Intern

Name of Intern: _____

Name of College: _____

(Note: Give a Score in the 1 to 5 scale by putting $\sqrt{\quad}$ in the respective cells)

Sr. No.	Particular	Excellent	Very Good	Good	Moderate	Satisfactory
1	Attendance & Punctuality					
2	Ability to work in a team					
3	Written and oral Communication Skills					
4	Problem solving Skills					
5	Ability to grasp new concepts					
6	Technical skill in terms of technology, programming etc.					
7	Ability to complete the task					
8	Quality of overall work done					

Comment: _____

Signature: _____

Name: _____

Designation: _____

Contact details: _____

Email: _____

Evaluation:

1. Presentation of the Project

2. Project Report Evaluation

